



INVESTAIN

Execution policy

Overview of Best Execution Requirement

INVESTAIN Ltd, operating under the authorization and regulation of the Financial Services Commission ("FSC"), is committed to acting in the best interests of our clients. In accordance with the FSC's Code of Business Conduct, when executing or arranging the execution of orders, we undertake to take all reasonable steps to achieve the best possible result for our clients, commonly referred to as "best execution." To fulfill this obligation, we have established and implemented an order execution policy and related procedures.

Execution Venues

As a principal dealer, we do not act as an agent for our clients, and transactions occur directly with us, not on an exchange or external market. Positions opened with us must be closed with us as they are non-transferable.

Execution Factors

We consider the following factors in our execution process:

1. **The Price:** While our goal is to act in our clients' best interest, we cannot guarantee that the execution price offered to clients will be better than elsewhere. Currency product prices are sourced from independent third-party providers contributing liquidity to the OTC Currency market.
2. **The Cost:** We apply a mark-up to prices from liquidity providers and publish marked-up prices that include our income, influencing margin requirements and balance credits/debits.
3. **Speed and Likelihood of Execution:** Trades may occur on our platform or through various communication methods, subject to liquidity, market conditions, and potential interruptions related to software, hardware, and telecom/data lines.
4. **Quantity:** Minimum and maximum trade quantities are determined by market conditions and firm policy, subject to change.

Execution Criteria

When arranging or executing a client order, we consider the characteristics of the client, the client order, and the financial instruments involved.

Dealing with Client Orders

Client orders are executed at our price or very close to it, considering market conditions. However, the execution price is not guaranteed, and market fluctuations may impact the outcome.

Specific Instructions

Clients with specific requirements should communicate with them, and we will strive to accommodate them. Specific instructions take precedence over our normal policies, potentially resulting in a different outcome.

Additional Charges

Transaction costs may apply, and we notify clients of applicable charges before executing their orders.

Our Duty of Best Execution

When executing orders for Currency, Bullion, or other OTC financial derivatives, we take reasonable steps to achieve the best possible outcome, considering our Execution Policy and client instructions.

Achieving 'Best Execution'

To achieve the best possible result, we consider factors such as price, costs, speed, likelihood of execution, settlement, order size, and other relevant factors. While we use our commercial experience to determine their relative importance, prices are deemed the most critical factor.

Policy Review

We regularly review our policy, monitoring and evaluating external pricing sources. Any material updates to this policy will be promptly communicated through a revised policy posted on our website, constituting actual notice to clients.